

EXHIBIT B

All Request for Appeal in Order of Category

A. Appeal Withdrawn

	Name of Claimant	Claim #	Appeal Date	Resolution Date	Disposition
1	Aardenne	16575			Appeal withdrawn via email sent to Daniel Polizzi of GCG on 10/25/07. Claimant agrees with determination.
2	Allegra	34327			Appeal withdrawn by email to Shari Young of GCG on 10/16/07.
3	Badmus	16703			Appeal withdrawn via email sent to Michael Falcone of GCG on 10/7/07. Client understands he filed a duplicate claim.
4	Cousinery	17181			Appeal Withdrawn. Deficiency Cured, request for appeal withdrawn via email to Shari Young of GCG on 9/27/07
5	Defauw	16608	8/27/2007	W/E 8/31/07	Appeal Withdrawn via telephone conversation with Daniel Polizzi of GCG on 10/5/07. Deficiency Cured.
6	Delaitre	13232	8/28/2007	W/E 8/31/07	Appeal Withdrawn. Request for appeal withdrawn by claimant via an email to Shari Young of GCG on 9/13/07. Claimant believed that his entire claim was ineligible rather than only one transaction.
7	Durot	27349			Appeal Withdrawn. Deficiency Cured, request for appeal withdrawn via email to Shari Young of GCG on 10/4/07
8	Evans Charitable Trust	20907	8/23/2007	8/27/2007	Appeal Withdrawn. Request for appeal withdrawn by claimant on telephone conversation with Daniel Polizzi of GCG on 9/13/07. Claimant believed that his entire claim was ineligible rather than only one transaction.
9	Flick	33795			Appeal withdrawn by email to Matt Cutillo on 10/19/07.
10	Haxaire	24112			Appeal withdrawn by email to Shari Young of GCG on 10/16/07.
11	Martin	285			Appeal Withdrawn via telephone conversation with Daniel Polizzi of GCG on 10/5/07. Deficiency Cured.
12	Menges	30601	8/29/2007		Appeal Withdrawn. Deficiency Cured, request for appeal withdrawn on a telephone conversation with Matt Cutillo of GCG.
13	Mouillot	13132	8/27/2007	W/E 8/31/07	Appeal Withdrawn. Request for appeal withdrawn by claimant via an email to Shari Young of GCG on 9/13/07. Claimant believed that his entire claim was ineligible rather than only one transaction.
14	Pecriaux	14911			Appeal Withdrawn. Request for appeal withdrawn by claimant via an email to Shari Young of GCG on 9/26/07.

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15	Pinel	24993			Appeal Withdrawn. Request for appeal withdrawn by claimant via telephone call with Shari Young of GCG on 9/27/07.
16	Prati	35307			Appeal Withdrawn via telephone conversation with Daniel Polizzi of GCG on 11/15/07.
17	Trautmann	19435			Appeal withdrawn via email sent to Daniel Polizzi of GCG on 10/2/07. This was never really a request for appeal.
18	Valier	20805			Appeal Withdrawn via telephone conversation with Daniel Polizzi of GCG on 10/5/07. Deficiency Cured.

B. Moot Appeals Deficiencies Cured

No.	Name of Claimant	Claim No.	Request Date	Date of Appeal	Disposition
1	Aareal Bank	34746			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
2	Arduin	19281	8/31/2007		Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
3	Barret	15107			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
4	Barth	18943			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
5	Benassi	15507			Deficiency Cured by Documentation Submitted With Appeal or Deficiency Response.
6	Berube	1046514	8/29/2007		Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
7	Bignon	9180			Deficiency cured after being contacted by GCG following request for appeal.
8	Billiau	15829			Deficiency cured after being contacted by GCG following request for appeal.
9	Biscarat	25461			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
10	Blanc	35600			Deficiency Cured by Documentation Submitted With Appeal or Deficiency Response.
11	Borgagni	12874			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
12	Boucherie	26649	8/28/2007		Deficiency Cured by Documentation Submitted With Appeal or Deficiency Response.

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13	Breant	36383			Deficiency Cured by Documentation Submitted With Appeal or Deficiency Response.
14	Brugier	754			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
15	Brumberg	23521	8/29/2007		Deficiency Cured by Documentation Submitted With Appeal or Deficiency Response.
16	Bryant	23330	By Hand	8/20/2007	Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG. This was not an Appeal. This is a Deficiency Response. This claimant was never sent Final Determination Notice.
17	BT Pension Scheme	30998			Deficiency cured after being contacted by GCG following request for appeal. There are some remaining deficiencies, however the claimant agrees with GCG's determination.
18	Butscher	17629			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
19	Carlson	1037827	8/13/2007	8/17/2007	Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG. Claimant had filed 3 claims. Claim# 1037827 is in good standing while claim number 1037270 and 1037827 have been deemed duplicates of 1037827 and made ineligible.
20	Chabod	36580	8/31/2007		Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
21	Cibiel	13425			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
22	Claude	10980			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
23	Colnot	10406			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
24	Conan	13952			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
25	Coromines	33018			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
26	Couillaud	23016	8/28/2007	W/E 8/31/07	Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.

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27	Danesi	761			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
28	De Paep	25205			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
29	Dechert	35996			Undocumented Unsold Holding Position. If accepted the Recognized Loss would be \$6,063.21. Documentation submitted for Unsold holding is for May 2003.
30	Del Vasto	25529			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
31	Delahaye	20414			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
32	Desantis	1016928			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
33	Desmarquest	23955			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
34	Deuire	31759	8/31/2007		Claimant believes that his entire claim is ineligible rather than one transaction for 10 shares. He does not contest the 10 shares that have been deemed ineligible due to the merger which created Vivendi Universal. We have been unable to reach him to clarify the situation.
35	Durand Tourisme	20052			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
36	Endutex	34426		8/17/2007	Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
37	Estival	27365			Deficiency cured after being contacted by GCG following request for appeal.
38	Eupen Finance AG	16966			Deficiency cured after being contacted by GCG following request for appeal.
39	Eymard	33894			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
40	Fandoux	33605			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
41	Fernand	14453			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
42	Fleurimont	23872	8/24/2007	W/E 8/31/07	Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.

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43	Fongeallaz	31706			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
44	Fontaine	16856			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
45	Fumery	26807			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
46	Gaillon	24692			Deficiency cured after being contacted by GCG following request for appeal.
47	Gardey	24105			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
48	Guegan	718			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
49	Guicherd	812			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
50	Guillien	1892			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
51	Harand	22814			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
52	Hemrika	33787	8/22/2007	8/27/2007	Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
53	Hohener	1023434			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
54	Hopp	32120			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
55	Huber	9206			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
56	Jaquet	8402			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
57	Jones	15945			Deficiency cured after being contacted by GCG following request for appeal.
58	Kennedy	32991			Deficiency cured after being contacted by GCG following request for appeal.
59	Kochsiek	25243			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
60	Kramlinger	33350			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.

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61	Kurtz-Lormant	34389			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
62	Lacombe	34316			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
63	Lange	8357			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
64	Lapert	19727			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
65	Larguet	35105			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
66	Lissonnet	12976	8/22/2007	8/27/2007	Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG. Please Note the Claim number provided by the claimant was 12916 when in reality the claim number is 12976
67	Lordey	19609			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
68	Lormant, Pierre	34369			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
69	Lovat	9330	8/28/2007	W/E 8/31/07	Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
70	Margossian	16712			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
71	Mas	33023			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
72	Metivier	24084			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
73	Micheals	1021508			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
74	Milant	21366			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
75	Morand-Monteil	14762			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
76	Mysko	21549			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.

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77	Nativite	13052	8/24/2007	W/E 8/31/07	Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
78	NSF/FMTC IRA	1036216			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
79	Patrice	20675			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
80	Pautret	11410			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
81	Pontet	19445			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
82	Raymond James	32410			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
83	Robert	14157	8/30/2007		Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
84	Ruh	25202			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
85	Salmont	19680			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
86	Simone	9722			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
87	Soulier	22153	8/30/2007		Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
88	Stichting Woonmaatschappij	14461			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
89	Talichet	22583			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
90	Taylor	19134			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
91	Teng Chuen Yu	21583			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
92	Trapp	15149			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
93	Trellu	16869			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.

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94	Valtier	15056			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
95	Van Sieleghem	11145	8/24/2007	W/E 8/31/07	Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
96	Viale	17745			Deficiency cured after being contacted by GCG following request for appeal.
97	Waldemar Koch Stiftung	32218	8/29/2007		Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
98	Wilson	15876			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG.
99	Winton Family LTD	1016799			Deficiency Cured by Documentation Submitted With Appeal or other Deficiency Response sent to GCG. Claimant understands now that his merger shares are ineligible.
100	Woodruff	1028439			Deficiency cured after being contacted by GCG following request for appeal.

C. Merger Shares

No.	Name of Claimant	Claim No.	Postmark Date	Date Notice Received	Disposition
1	Bardot	26446			No Eligible Purchases. All shares were acquired through the merger which created Vivendi Universal.
2	Bardy	26795			No Eligible Purchases. All shares were acquired through the merger which created Vivendi Universal.
3	Beglarian	9502			No Eligible Purchases. All shares were acquired through the merger which created Vivendi Universal. This claim originally had inadequate documentation deficiencies yet the documentation provided in the deficiency response showed that all shares were merger shares. We have emailed the claimant informing him of such.
4	Benoit	30802			No Eligible Purchases. All shares were acquired through the merger which created Vivendi Universal.
5	Caron	1001345			No Eligible Purchases. All shares were acquired through the merger which created Vivendi Universal.
6	Carreau	27352	8/23/2007	W/E 8/31/07	No Eligible Purchases. All shares were acquired through the merger which created Vivendi Universal.
7	Chabrol	17091			No Eligible Purchases. All shares were acquired through the merger which created Vivendi Universal.

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8	Clemot	23424			Ineligible Purchase. This claimant acquired 61 shares through the merger which created Vivendi Universal. The remainder of the claim is in good standing.
9	Costa	21368	8/24/2007	W/E 8/31/07	Ineligible Purchase. This claimant acquired 50 shares through the merger which created Vivendi Universal which have been deemed ineligible. The remainder of the claim is in good standing.
10	De Saint	34385			No Eligible Purchases. All shares were acquired through the merger which created Vivendi Universal.
11	Defauw	5004405	8/27/2007	W/E 8/31/07	Duplicate Claim. Claim #5004405 is the primary claim associated with duplicate 16608. Both Claims also include 25,000 ineligible shares received through the merger which created Vivendi Universal.
12	Elkeslassy	13018	8/24/2007	W/E 8/31/07	No Eligible Purchases. All shares were acquired through the merger which created Vivendi Universal.
13	Facchini	14731			Ineligible Purchase. This claimant acquired 115 shares through the merger which created Vivendi Universal. The remainder of the claim is in good standing.
14	Felix	312	8/20/2007	8/23/2007	No Eligible Purchases. All shares were acquired through the merger which created Vivendi Universal.
15	Fowler	1034555			No Eligible Purchases. All shares were acquired through the merger which created Vivendi Universal.
16	Henn	27274			No Eligible Purchases. All shares were acquired through the merger which created Vivendi Universal.
17	Hymel	1030765	8/18/2007	8/22/2007	No Eligible Purchases. All shares were acquired through the merger which created Vivendi Universal.
18	Liberty Media Corp.	34943	By Hand	8/17/2007	No Eligible Purchases. All shares were acquired through the merger which created Vivendi Universal.
19	Logan	10504			No Eligible Purchases. All shares were acquired through the merger which created Vivendi Universal.
20	Lovell	1030999			No Eligible Purchases. All shares were acquired through the merger which created Vivendi Universal. Clients deficiency and rejection letter were a result of missing documentation, however the documentation provided with the appeal shows all shares listed as purchases are in fact shares received from the merger.

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21	Molinier	1031768			No Eligible Purchases. All shares were acquired through the merger which created Vivendi Universal. Further more, claim# 1033142 and #1037067 are duplicate claims, which the claimant acknowledges.
22	Monnier	35704			Ineligible Purchase. This claimant acquired 32 shares through the merger which created Vivendi Universal. The remainder of the claim is in good standing. Appeal letter was illegible.
23	Niestert	6868			No Eligible Purchases. All shares were acquired through the merger which created Vivendi Universal.
24	Presti	30530			No Eligible Purchases. All shares were acquired through the merger which created Vivendi Universal. Claims 21197 is a duplicate of 30530
25	Toker, Mia	1032453			No Eligible Purchases. All shares were acquired through the merger which created Vivendi Universal.
26	Toker, William	1033901			No Eligible Purchases. All shares were acquired through the merger which created Vivendi Universal.

D. Purchases Outside of Settlement Period

No.	Name of Claimant	Claim No.	Post-Settlement Received	Pre-Settlement Received	Disposition
1	Blanc	13367			No Eligible Purchases. All shares were inherited by the claimant during the Settlement Period, however the original purchase was made pre-Settlement period.
2	Bocquet	31680			Ineligible Purchase. One of the Purchases being claimed is outside of the Settlement Period.
3	Bourgeois	14094			No Eligible Purchase. Claimant Purchased a structured product (GOAL) prior to the settlement period which resulted in the delivery of 220 shares into the account during the class period.
4	Cheneval	30919			Ineligible Purchase. This claimant has one transaction for 9 shares being treated as an ineligible incoming transfer of shares from another account. However, he seems to be confused and is requesting reconsideration of the 61 eligible shares. We have been unable to reach this claimant to explain to him his deficiency.
5	Desbois	31393			No Eligible Purchases. All purchases took place prior to the settlement period.

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6	Hamann	10388			No Eligible Purchases. All purchases took place prior to the settlement period.
7	Pethers	19816			No Eligible Purchases. Claimant was appealing his inadequate documentation deficiency. After review of his newly submitted documentation we were able to determine that his only purchase took place prior to the settlement period.
8	Sebbag	1000899			No Eligible Purchases. The only purchase took place prior to the settlement period.
9	Warrain	9190			No Eligible Purchases. All purchases took place prior to the settlement period.

E. Undocumented Transactions

No.	Name of Claimant	Claim No.	Initial Date	Notice Received	Deficiency
1	Basso Holding	34094			Undocumented Unsold Holding Position. If accepted the Recognized Loss would be \$238,383.60
2	Billiau	15829			Undocumented Unsold Holding Position. If accepted the Recognized Loss would be \$3,877.77
3	Burns	15831			Undocumented Unsold Holding Position. If accepted the Recognized Loss would be \$3,473.60
4	Damay	11510			Inadequate Documentation Submitted. Claimant is also filing for ineligible securities and for shares purchased outside of settlement period.
5	Dekoster	35960			Undocumented Transactions. Potentially a reverse convertible note. If accepted the Recognized Loss would be \$5,086.41
6	Gray	17709			Undocumented Unsold Holding Position. If accepted the Recognized Loss would be \$2,158.23
7	Legrand	4			Undocumented Unsold Holding Position. If accepted the Recognized Loss would be \$3,223.36
8	Lupton	20522	8/21/2007	8/27/2007	Undocumented Transactions. Original Deficiencies were Purchases and Sales Transactions Undocumented as well as Unsold holding and Merger shares. Project Manager Spoke w/claimant to resolve the deficiency on the Unsold Holding position and to explain why merger shares were ineligible. Claimant will work with his bank to obtain missing documentation for some Purchases and Sales, however would like to maintain his request of appeal at this time.

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9	Mercieca	15586			Undocumented Unsold Holding Position. If accepted the Recognized Loss would be \$21,362.55
10	Peter	21382			Inadequate Documentation Submitted. If accepted the Recognized Loss would be \$7,739.29
11	Porcheron	16711	8/30/2007		Undocumented Unsold Holding Position. If accepted Recognized Loss would be \$5,135.34.
12	Puccini	30696			Undocumented Transactions. If accepted the Recognized Loss would be \$4,784.83. Claimant states they cannot obtain any documentation.
13	Toper	19848			Undocumented Transactions. Claimant only documented his Unsold holding. No purchase information was provided.
14	Tuccinardi	15812	8/28/2007	W/E 8/31/07	Undocumented Unsold Holding Position. If accepted the Recognized Loss would be \$2,697.75
15	von Uthmann	24294	8/14/2007	8/20/2007	Undocumented Unsold Holding Position. If accepted the Recognized Loss would be \$3,937.78

F. Duplicate Claims

No.	Name of Claimant	Claim No.	Date	Date Received	Disposition
1	Guillo	30633			Duplicate Claim. Claim #30633 is a duplicate of claim #20905.
2	Joel	34005			Duplicate Claim. Claimant wrote to inquire about receipt of claim #25595. After review we determined that claim# 34005 is a duplicate of claim# 25595
3	Marchand	15294			Duplicate Claim. Claim #15294 is a duplicate of claim #15297.
4	Yve	20953			Duplicate Claim. Claim# 20953 and Claim# 36401 are duplicates of claim# 30653. Claimant agrees but seems confused as to which claims are being rejected. We will reach out to clarify confusion.

G. Unsigned Claim Form

No.	Name of Claimant	Claim No.	Postmark Date	Date Notice Received	Disposition
1	Delabarre	33691			Unsigned Claim Form. Claimant has yet to provide a signed claim form or deficiency letter.

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H. Ineligible Security or Transactions					
No.	Name of Claimant	Claim No.	Buyer	Date	Disposition
1	Kjaer	20627			Ineligible Security. Claimant Purchased Vivendi Universal Exchangeco not Vivendi Universal.

I. No Loss					
No.	Name of Claimant	Claim No.	Buyer	Date	Disposition
1	Antoni	31733			No Loss Due to No Sale or Unsold Holding on July 2, 2002.
2	Bartholomae	6974	8/14/2007		No Loss Due to No Sale or Unsold Holding on July 2, 2002
3	Bode	18023			No Loss. Claimant originally provided no purchase information. Along with the appeal a purchase and sale of 2,639 shares were provided during the settlement period. The claimant now shows No Loss due to No Sale or Unsold Holding on July 2, 2002.
4	Bohorad	1010987	8/30/2007		No Loss Due to No Sale or Unsold Holding on July 2, 2002
5	Brunk	33058			No Loss Due to No Sale or Unsold Holding on July 2, 2002. One of the documents sent with appeal is illegible.
6	Collet	15827			No Loss Due to Market Gain
7	De Tullio	31548	8/18/2007	8/22/2007	No Loss Due to Market Gain
8	Fetterman	1009538			No Loss due to No Sale or Unsold Holding on July 2, 2002
9	Franceasia	21774			No Loss due to No Sale or Unsold Holding on July 2, 2002
10	Frueh	1016651			No Loss due to No Sale or Unsold Holding on July 2, 2002
11	Garner	1036410			No Loss Due to No Sale or Unsold Holding on July 2, 2002.
12	Gill Studio	1004889			No Loss Due to No Sale or Unsold Holding on July 2, 2002.
13	Gill Studio	1004888			No Loss Due to No Sale or Unsold Holding on July 2, 2002.
14	Grossehelleforth	24711			No Loss Due to No Sale or Unsold Holding on July 2, 2002.
15	Hegeman	1011072	8/28/2007		No Loss Due to Market Gain
16	Helland	9242			No Loss Due to Market Gain
17	Hodgson	11276			No Loss Due to No Sale or Unsold Holding on July 2, 2002.

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18	Hoffley Family Invest.	1010677			No Loss Due to No Sale or Unsold Holding on July 2, 2002.
19	Jacin Revocable Trust	3240			No Loss Due to No Sale or Unsold Holding on July 2, 2002.
20	Jaffe	1029353			No Loss Due to No Sale or Unsold Holding on July 2, 2002.
21	Jarmuszewski	23178			No Loss Due to No Sale or Unsold Holding on July 2, 2002.
22	Johnson	1011248			No Loss Due to No Sale or Unsold Holding on July 2, 2002.
23	Kantor Trust	1036218			No Loss Due to No Sale or Unsold Holding on July 2, 2002. Newly submitted documentation cured documentation related deficiencies but highlighted the claims No Loss.
24	Litzinger	23902			No Loss Due to No Sale or Unsold Holding on July 2, 2002.
25	Litzinger	23901			No Loss Due to No Sale or Unsold Holding on July 2, 2002.
26	Majer	26413	8/29/2007		No Loss Due to No Sale or Unsold Holding on July 2, 2002.
27	Michonneau	569			No Loss Due to No Sale or Unsold Holding on July 2, 2002.
28	Mischke	27394			No Loss due to No Sale or Unsold Holding on July 2, 2002.
29	Morrison	1024852			No Loss Due to No Sale or Unsold Holding on July 2, 2002.
30	Muller-Stoy	16961	8/28/2007		No Loss Due to No Sale or Unsold Holding on July 2, 2002.
31	Naccio	1011259			No Loss Due to No Sale or Unsold Holding on July 2, 2002.
32	Pressley	26798			No Loss Due to No Sale or Unsold Holding on July 2, 2002.
33	Racz	1012539	8/17/2007	8/20/2007	No Loss Due to No Sale or Unsold Holding on July 2, 2002.
34	Resolution Asset Management	35177			No Loss Due to No Sale or Unsold Holding on July 2, 2002. Daniel Polizzi explained to the claimant in detail why they received a no loss determination, however they would like to maintain their request for appeal.
35	Swift	5339			No Loss Due to No Sale or Unsold Holding on July 2, 2002. Claimant appears to be trying to use his settlement date of 7/2/02 (eligible sale date) as his sale date rather than the actual sale date which is 6/27/02 and ineligible.
36	Weber	1011903			No Loss Due to No Sale or Unsold Holding on July 2, 2002.
37	Whitney Crowell Family Trust	1012487			No Loss Due to No Sale or Unsold Holding on July 2, 2002.

All Request for Appeal in Order of Category

1	Del Pino	37621			Not an appeal, rather a late claim requesting inclusion.
2	Stutel	31267			Not an appeal, rather a letter from the claimant requesting the claim be withdrawn following receipt of a No Loss letter.
3	Zuckerbraun	33126			Not an appeal rather an inquiry regarding the validity of non-deficient transaction.